

1 Development and Preliminary Evaluation of the Tinnitus Severity Short Form

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## Abstract

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**Purpose:** Tinnitus, or the perception of sounds that occur without an external sound source, is a prevalent condition worldwide. For a subset of adults, tinnitus causes significant distress and impairment. Several patient-reported outcome measures have been developed to assess severity of tinnitus distress. However, at present, the field lacks a brief measure that is sensitive to treatment change. The purpose of the current study was to develop and preliminarily validate a brief questionnaire for tinnitus severity from two existing measures of tinnitus-related distress, the Tinnitus Handicap Inventory (THI) and Tinnitus Functional Index (TFI).

**Method:** Using data from nine study samples in the United States and United Kingdom, we conducted exploratory and confirmatory factor analyses to identify a short measure with good psychometric properties. We also assessed sensitivity to treatment-related change by examining associations with change in the TFI and THI. Finally, we conducted a confirmatory factor analysis of the final short questionnaire in a new sample of adults seeking treatment for tinnitus-related distress.

**Results:** We identified 10 items from the THI and TFI that exhibited limited loadings on secondary factors. The resulting Tinnitus Severity Short Form (TS-SF) achieved good to excellent fit, including in a unique sample of individuals seeking online treatment for tinnitus, and appeared sensitive to treatment-related change.

**Conclusions:** The TS-SF developed in the current study may be a useful tool for the assessment of subjective severity and distress associated with tinnitus, especially when patient burden is a concern. Further research is necessary to fully validate the questionnaire for the assessment of treatment-related change.

Keywords: Tinnitus, Measurement, Outcome instruments, Confirmatory Factor Analysis

## 48                                    **Development of a Short Questionnaire for Tinnitus Severity**

49                    Tinnitus is the perception of constant or persistent transient sounds (i.e., ringing, buzzing)  
50 generally noticed in the ears and/or head in the absence of a corresponding external sound in the  
51 environment. It is a prevalent condition globally with at least 10-20% of adults reporting  
52 frequently hearing tinnitus (e.g. Shargorodsky, Curhan, & Farwell, 2010). For most individuals,  
53 tinnitus is not bothersome. Some, however, have strong reactions to tinnitus. Results of the  
54 National Study of Hearing in England found that of the general population surveyed ( $N = 48,$   
55 313), 10.1% reported any tinnitus, 2.8% reported moderately annoying tinnitus, 1.6% reported  
56 severely annoying tinnitus, and 0.5% were unable to lead a normal life due to the severity of the  
57 tinnitus (Davis & Refaie, 2020). Chronic distressing tinnitus is associated with lower quality of  
58 life and greater prevalence of psychological problems, including anxiety and depression, and  
59 may in a small minority lead to suicidal inclinations (Aazh & Moore, 2018; Geocze et al., 2013;  
60 Pattyn et al., 2016).

61                    In the absence of a clear cause of tinnitus, a cure remains elusive (McFerran et al., 2019).  
62 There are numerous tinnitus management strategies, such as sound-based therapies, aiming to  
63 reduce the perception of tinnitus (Cima et al., 2019; Searchfield et al., 2017; Tunkel et al., 2014).  
64 In addition, psychological treatments including mindfulness-based therapies (Rademaker et al.,  
65 2019), have been developed to address unhelpful reactions and behaviors associated with hearing  
66 tinnitus (Andersson & Lyttkens, 1999). The tinnitus management strategy with the most  
67 scientific evidence to date is Cognitive Behavioral Therapy (Andersson, 2002; Hesser et al.,  
68 2011; Landry et al., 2019; Martinez-Devesa et al., 2007). In this psychological treatment, the  
69 focus is not on eliminating the tinnitus, but on reducing the resulting difficulties and associated  
70 distress through cognitive and behavioral strategies. Results from systematic reviews and meta-

71 analyses support the efficacy of this approach (e.g., Andersson, 2002; Andersson & Lyttkens,  
72 1999; Hesser et al., 2011; Landry et al., 2019; Martinez-Devesa et al., 2007).

### 73 **Measurement of Tinnitus**

74           There is currently no objective way to determine the presence or severity of tinnitus  
75 (Jackson et al., 2019). Consequently, tinnitus practice guidelines recommend quantifying tinnitus  
76 severity or intervention-related change over time using self-report outcome measures (Tunkel et  
77 al., 2014). To determine which interventions are most effective for tinnitus, pre- and post-  
78 outcome measure scores are compared (Hall, 2017). There are at least twelve English-language  
79 tinnitus questionnaires (Fackrell et al., 2014; Hall et al., 2016) that aim to assess tinnitus severity  
80 or its burden to an individual's life. Not all of the questionnaires have been validated to assess  
81 treatment-related change (Henry et al., 2016; Jacquemin et al., 2019; Meikle et al., 2007, 2008).  
82 The Tinnitus Handicap Inventory (THI; Newman et al., 1996) and Tinnitus Functional Index  
83 (TFI; Meikle et al., 2012) are the two most commonly used English-language primary outcome  
84 instruments (Hall et al., 2016). The THI was developed as a diagnostic tool with defined  
85 categories of tinnitus severity (Newman et al., 1998). Although not initially intended for this  
86 purpose, the THI has demonstrated an adequate ability to assess treatment outcomes (Zeman et  
87 al., 2011). The TFI was later developed as a measure of tinnitus severity and was specifically  
88 validated to be responsive to change (Meikle et al., 2012).

89           Ensuring that the outcome measure is reliable, valid, responsive, and can be appropriately  
90 interpreted is essential when assessing the outcome of an intervention (Terwee et al., 2007). The  
91 TFI and THI have high convergent validity ( $r = .86$ ; Meikle et al., 2012), and their psychometric  
92 properties have been evaluated in numerous studies. The THI items are grouped into three  
93 subscales: functional, emotional, and catastrophic facets of tinnitus distress. These subscales

94 were identified based on face validity (Newman et al., 1996). Exploratory and confirmatory  
95 factor analysis is often used in psychometrics research to empirically examine subscales (c.f.,  
96 Brown, 2015). In factor analysis, observed variables (e.g., questionnaire items) load onto  
97 unobservable latent factors (e.g., facets of tinnitus distress). The central notion of factor analysis  
98 is that responses to specific items are due to such latent variables, and part of the purpose of the  
99 analysis is to determine how many such latent variables are involved. More than one latent  
100 variable implies that the scale is not unitary, but rather has subscales. Ideally, the corresponding  
101 items load strongly onto only one latent factor, indicating discriminability between facets.

102         In a study examining the Danish version of the THI using exploratory factor analysis,  
103 Zachariae and colleagues (2000) found that a three-factor solution was suitable. However, the  
104 factors differed from those initially suggested by Newman and colleagues (1996). Using  
105 principal components analysis, Baguley and Andersson (2003) found support for a unifactorial  
106 structure of the THI, with only one item loading on to a separate factor without double loadings.  
107 To our knowledge, Kleinstäuber and colleagues (2015) have presented the only confirmatory  
108 factor analytic assessment of the THI to date. In this study of 373 adults with chronic tinnitus,  
109 the authors found partial support for the three-factor model proposed by Newman and colleagues  
110 (1996), as this was a better fit than a unifactorial model. However, fit indices did not indicate a  
111 uniformly excellent fit.

112         The more recently-developed TFI has eight subscales: intrusiveness, sense of control,  
113 cognition, sleep, auditory, relaxation, quality of life, and emotional distress (Meikle et al., 2012).  
114 These subscales were based on exploratory factor analysis of a set of 30 items, after which five  
115 items were removed from inclusion in the final measure (Meikle et al., 2012). Notably, a major  
116 difference from the THI is the exclusion of any items referring to catastrophic feelings (e.g.,

117 desperation, fear). Fackrell and colleagues (2016, 2018) found in at least two studies that the  
118 hypothesized eight-factor structure resulted in a sub-optimal fit due to cross-loadings and lack of  
119 contribution by the auditory subscale to the overall construct of the functional impact of tinnitus.  
120 As these validation studies used a research volunteer population rather than a clinical population,  
121 concerns have been raised about the applicability of these results for a clinical population  
122 (Folmer, 2016; Henry et al., 2017).

123 For both the THI and TFI, researchers typically sum the scales despite the measures  
124 having been developed to assess specific subfactors related to tinnitus distress (e.g., Hesser et al.,  
125 2012; Krings et al., 2015; Robinson et al., 2008; Shekhawat et al., 2014). This practice results in  
126 a single, unifactorial assessment of tinnitus severity. Due to the preference for a unified measure  
127 assessing tinnitus severity as a singular construct, determining which items most clearly assess  
128 this construct is important. Also, in regard to utility, it is worth noting that both measures are  
129 frequently administered together in treatment studies (e.g., Bauer, Berry, & Brozoski, 2017;  
130 Beukes et al., 2018; Folmer et al., 2015; Kimball et al., 2018; Roland et al., 2015), presumably in  
131 order to compare findings across studies and increase sensitivity to detect treatment-related  
132 change. This practice may be burdensome for patients, as each measure contains 25 items.  
133 Consequently, it is unlikely that the measures will be administered more than twice.  
134 Furthermore, depending on the type and length of interventions, simple pre- and post-  
135 intervention assessment may not be enough to accurately and sensitively assess changes in  
136 tinnitus severity.

### 137 **Current Study**

138 Careful monitoring of tinnitus severity during the course of interventions is critical for  
139 the development and validation of evidence-based treatments. To lessen patient burden, a shorter



162 To include a diverse range of tinnitus populations, data sets were sought where adults  
163 with bothersome tinnitus had completed at least some items from both the THI and TFI at least  
164 once. Ideally, participants would have completed the TFI and THI on at least two occasions.  
165 Data from both research and clinical populations from two English-speaking countries were  
166 sought, namely the United States (US) and the United Kingdom (UK). Existing de-identified  
167 datasets from nine studies conducted by the authorship team were included as summarized in  
168 Table 1.

169 An additional data set was collected once the short form was developed in order to assess  
170 the psychometric properties of this measure in a unique sample. The developed short form was  
171 administered to individuals in the US seeking online treatment for tinnitus prior to beginning  
172 treatment. Ethical approval (IRB-FY17-209) was obtained from the Institutional Review Board  
173 at Lamar University, Beaumont, Texas, US.

#### 174 **Measures of Tinnitus Severity**

175 Two commonly used measures of tinnitus severity, the THI and the TFI, were the focus  
176 on the current study. The THI-S (i.e., screening version of the THI) was also used in some  
177 studies. Information about these measures is provided below.

#### 178 ***Tinnitus Handicap Inventory***

179 The THI is a 25-item scale with three subscales designed to assess functional, and  
180 emotional, and catastrophic response components of perceived tinnitus severity. Each item is  
181 answered on a 3-level response scale, with a “yes” response receiving four points, “sometimes”  
182 receiving two points, and “no” receiving zero points. The THI has good internal validity with  
183 Cronbach’s Alpha of 0.96 (Newman et al., 1996) and test-retest validity of  $r = 0.92$  (Newman et



184 al., 1998). It is scored between 0-100 with lower scores indicating less severity. Of note, only 51  
185 distinct values are possible as the THI is not a fully dimensional scale.

### 186 *Tinnitus Handicap Inventory – Screening Version*

187 In addition to the full THI, a shortened screening version has been developed that  
188 includes ten items drawn from the original scale. Items were selected based on item-total  
189 correlations, representativeness of content domains thought to underlie THI total scores, and face  
190 validity (Newman et al., 2008). The THI-S has been shown to correlate highly with the full THI  
191 ( $r_s = 0.90-0.95$ ); however, principal components analysis of the THI-S suggests cross-loadings  
192 of its items between the emotional and functional factors (Lee et al., 2014; Newman et al., 2008).

### 193 *Tinnitus Functional Index*

194 The TFI contains 25 items designed to assess eight components of tinnitus severity:  
195 intrusiveness, sense of control, cognition, sleep, auditory, relaxation, quality of life, and  
196 emotional distress. Each item is answered on a 10-point scale. Total scores range from 0-100  
197 after a raw total score is divided by 25 (or the number of items answered) and multiplied by 10.  
198 All integers between 0 and 100 are possible, and higher scores indicate greater tinnitus severity.  
199 The TFI has high test-retest reliability, and meaningful change has been defined as a score  
200 reduced by 13 points or more (Meikle et al., 2012).

### 201 **Data Analytic Plan**

202 The data analysis aimed to assess how well constructs from the THI and TFI are  
203 measured and to identify a subset of items that can be used to assess treatment-related change. A  
204 detailed data analytic plan was pre-registered on Open Science Framework (Frumkin et al.,  
205 2020) and outlined below. Notably, preliminary results required us to deviate from the pre-  
206 registered plan in ways that are also detailed on Open Science Framework. The following data

207 analytic plan reflects the steps that were ultimately conducted. All analyses were conducted in  
208 Mplus version 8 (Muthén & Muthén, 2017).

### 209 *Evaluating the Questionnaire Constructs*

210 Confirmatory factor analyses were used to assess the factorial validity of the THI and TFI  
211 and to determine whether the two questionnaires measure similar constructs of tinnitus severity.  
212 The full sample was randomly split in half to allow for exploratory and confirmatory work as  
213 needed. In the first half of the data, we examined the TFI and THI separately to determine the  
214 best-fitting model. For each measure, three models were compared: (1) a single-factor model; (2)  
215 the most strongly supported model of the TFI (see Fackrell et al., 2016) and THI (see  
216 Kleinstäuber et al., 2015); (3) a bifactor version of the previous model, with all items loading on  
217 a single “distress” factor in addition to secondary loadings.

218 A bifactor model is one in which items are assumed to arise from at least two separate  
219 sources: a general factor representing shared variance among all or most items, and more specific  
220 factors representing shared variance among smaller groups of items (Reise, 2012). In this case, a  
221 bifactor model seemed plausible under the assumption that at least some items assess general  
222 distress or severity associated with tinnitus, as well as specific aspects of the tinnitus experience  
223 that are not necessarily always coincident with tinnitus severity. For example, difficulty falling  
224 or staying asleep might reflect sleep disturbance due to distress about tinnitus but might also  
225 reflect sleep disturbance due to other issues.

226 For models with only continuous variables, maximum likelihood estimation robust to  
227 departures from normality (using the MLR estimator) in Mplus was used to handle missing data  
228 (Muthén & Muthén, 2017). For models with categorical variables (i.e., range of less than seven),  
229 multiple imputation was conducted using the Multivariate Imputation by Chained Equations

230 (mice) package in R (van Buuren & Groothuis-Oudshoorn, 2011). Of the entire sample, 59% ( $n$   
231 = 298) completed the THI in full, whereas others completed only a subset of items that comprise  
232 the THI-S. Missing data was present in <1% of cases ( $n = 1$ ) for the TFI.

233 Model fit was assessed using the Tucker–Lewis incremental fit index (TLI; Tucker &  
234 Lewis, 1973), the comparative fit index (CFI; Bentler, 1990), and the root mean square error of  
235 approximation (RMSEA; for further explanation of fit statistics, see Muthén & Muthén, 2017).  
236 When models included only continuous data, we evaluated comparative model fit using the  
237 Akaike Information Criterion (AIC; Akaike, 1974) and the Bayesian Information Criterion (BIC;  
238 Schwarz, 1978), with lower values indicating better fit. For the TLI and CFI, values of .90 and  
239 above were considered adequate, whereas values of .95 or above were considered very good. For  
240 the RMSEA, values of .08 and below were considered adequate and .05 or below very good (Hu  
241 & Bentler, 1999). If no model achieved good fit, exploratory factor analysis was used to  
242 determine an appropriate factor structure. Similar steps have been previously undertaken with a  
243 wide variety of measures (e.g., Fernandez et al., 2014; Levinson et al., 2017; Rodebaugh, 2009;  
244 Vodanovich et al., 2005). However, as described below, these steps could not produce a well-  
245 fitting model in this case. Exploratory and confirmatory analyses were conducted in order to  
246 generate a model with nearly excellent fit.

### 247 *Combining the THI and TFI*

248 Next, we determined the best-fitting joint factor structure of the THI and TFI through  
249 similar competing models (i.e., single factor vs. best fitting structures as determined through  
250 initial testing of individual measures). Where bifactor models were indicated, we also tested a  
251 bifactor model with a single higher-order “distress” factor in order to assess the degree to which  
252 the two measures were capturing similar constructs.

### 253 *Generating the Tinnitus Severity Short Form (TS-SF)*

254           We then generated a short form combining items from the TFI and THI. We focused on  
255 items that demonstrated high loadings on the factor of interest, as well as limited loadings on  
256 secondary factors. Items were first selected within each individual scale and then combined into  
257 a joint short-form model. The intent was for all items to load on to a single factor. However,  
258 competing factor models were investigated when indicated.

### 259 *Comparing Factor Structure across Cultures*

260           We next examined whether the joint short form we developed was appropriately invariant  
261 across US and UK samples. Given the categorical nature of the THI items, we followed  
262 recommendations from Wu & Estabrook (2016) to test four aspects of metric invariance in the  
263 following order: (1) configural invariance (i.e., whether the groups have the same general factor  
264 structure); (2) scalar invariance (i.e., invariance of item thresholds); (3) metric invariance (i.e.,  
265 invariance of item loadings); and (4) residual variance invariance. Model fit was assessed  
266 through change in CFI and RMSEA (Chen, 2007; Cheung & Rensvold, 2002). Although the chi-  
267 square difference test has historically been used to assess the impact of imposing invariance  
268 constraints, several studies have shown that this statistic is sensitive to sample size and inflates  
269 Type I error (Bagozzi, 1977; Bentler & Bonett, 1980; Yuan & Chan, 2016). Based on  
270 recommendations by Chen (2007), invariance is indicated by the more constrained (invariant)  
271 model having not that much lower a CFI (< .005 change) and not that much higher an RMSEA  
272 (< .01 change). An acceptably partially invariant model would have invariant loadings  
273 (configural invariance), and metric, scalar, and residual variance would affect fewer than half of  
274 the items.

### 275 *Assessing Treatment-Related Change using the TS-SF*

276 Treatment data was used to assess how well the TS-SF accounted for changes in the TFI,  
277 THI, and THI-S. Initially, latent trajectory analyses were conducted to determine how well the  
278 short form captured change across treatment shown in other measures. Simple regression  
279 analyses were also used to determine how much variance the short form predicted in the  
280 observed scores of the TFI, THI, and THI-S.

### 281 *Evaluating the TS-SF in a Separate Sample*

282 Finally, factor structure of the short form was assessed in a unique sample. All items  
283 were placed on a 0-10 response scale. A single-factor structure was tested, as well as models  
284 involving method factors where indicated. Unfortunately, an instruction was added to the short  
285 form that resulted in confusion for participants as to how to respond to the lone reverse-scored  
286 items, so this item was removed from analyses. Together, these analyses provided further  
287 understanding of the utility of the proposed short form compared to existing measures.

## 288 **Results**

### 289 **Participant Characteristics**

290 Existing datasets from nine studies ( $N = 502$ ) were used. Of the full sample, 42% of  
291 participants ( $n = 213$ ) completed the questionnaires in the US and 58% of participants ( $n = 289$ )  
292 completed the questionnaires in the UK. Demographic and clinical characteristics are provided in  
293 Table 2. Once the short form was developed, it was administered to 164 individuals in the US  
294 seeking online treatment for tinnitus prior to beginning treatment.

### 295 **Evaluating the Questionnaire Constructs**

296 As seen in Table 3, the eight-factor and bifactor models of the TFI achieved acceptable to  
297 good fit. The bifactor model appeared to fit marginally better and was retained for further testing.  
298 When testing the Kleinstaubler (2015) three-factor model of the THI, the emotional and

299 catastrophizing factors were so highly correlated that the model produced an improper estimate  
300 (an  $r > 1$ ). Combining these two factors resulted in some improvement in fit (see Table 3, THI  
301 Two Factor). Fit was further improved by including a bifactor distress factor. As seen in Table 3,  
302 fit was similar for a bifactor model of the THI with three additional factors and with only one  
303 additional factor retained (the functional factor). As such, the more parsimonious bifactor model  
304 was retained for further testing.

305         For both the TFI and THI, fit of the most successful models was still less than optimal.  
306 Exploratory factor analyses did not suggest additional factors were indicated; nor did they  
307 suggest plausible alternative factor structures. As such, the most parsimonious bifactor models  
308 were retained for analysis of the joint TFI and THI factor structure. The joint bifactor model  
309 achieved poor fit (CFI = .705, TLI = .677, RMSEA = .063), as did a non-bifactor version of the  
310 same model (CFI = .692, TLI = .675, RMSEA = .065). When a single bifactor distress factor (that  
311 all items loaded on) was included, fit continued to worsen (CFI = .649, TLI = .619, RMSEA =  
312 .071), suggesting important discrepancies in the underlying constructs assessed by the two  
313 measures despite their theoretical overlap.

314         At this point we departed from our pre-registered analysis plan because it became clear  
315 that there were problems with the item pool. That is, although intended to measure a unified  
316 construct, no joint factor structure could be found that fit well, although bifactor models showed  
317 close to good fit in the individual measures. The pattern of results suggested a situation in which  
318 most of the items assessed multiple constructs (e.g., tinnitus severity together with another  
319 element partially related to the tinnitus experience), but assessment of the secondary elements  
320 was not strong enough to produce good fit with a bifactor model.

321 **Generating the TS-SF**

322           Creating a short form from both the TFI and THI was approached using items with  
323 limited loadings on secondary factors. Based on the acceptable bi-factor model of the TFI we had  
324 already tested, we selected the item from each factor with the highest loading on the general  
325 “distress” factor. This resulted in an 8-item short form that achieved acceptable to excellent fit  
326 (CFI = .959, TLI = .943, RMSEA = .08). However, consistent with previous findings (e.g.,  
327 Fackrell et al., 2016, 2018), the item from the auditory factor loaded less well. Removing this  
328 item resulted in a 7-item short form of the TFI with good, although still not excellent fit (CFI =  
329 .969, TLI = .953, RMSEA = .08).

330           For the THI, we selected items from the more parsimonious bifactor model. We chose  
331 items that loaded on to the general distress but not the functional factor in order to generate a  
332 model with a single factor. We then removed items with low loadings (i.e., below 0.6). The  
333 resulting unifactorial model with 11 items showed excellent fit according to the CFI and TLI  
334 (.954 and .943, respectively); however, the RMSEA remained high (.105).

335           A joint bifactor model was then fit utilizing the items selected from each measure. All  
336 items loaded on to a general distress factor, and the TFI items loaded on to an additional method  
337 factor. The five items from each scale with the highest loadings on the joint factor (TFI items 5,  
338 9, 17, 20, and 24; THI items 10, 14, 16, 21, and 23) were retained. The resulting bifactor model  
339 fit well (CFI = .960, TLI = .941, RMSEA = .069). Final items and factor loadings are displayed  
340 in Table 4. A total score for the combined short form was generated by summing the five items  
341 from each scale and dividing that sum by the total possible points (50 for the TFI, 20 for the  
342 THI). The two scores were then added together and multiplied by 50 for a total possible score  
343 ranging from 0-100 (although having fewer than 100 possible scores). As seen in Table 5, the

344 short form correlated highly with observed scores on the full TFI and THI. Internal consistency  
345 for the short form was excellent ( $\alpha = 0.92$ ).

346 The combined short form was then tested for invariance across the US and UK samples.  
347 Although item thresholds appeared to be invariant across groups, constraining loadings to be  
348 equal across groups caused worse fit ( $\Delta CFI = .157$ ,  $\Delta TLI = .157$ ,  $\Delta RMSEA = .057$ ). This result  
349 suggests a lack of metric invariance such that individuals may be responding differently to the  
350 items across the two groups. Freeing some loadings to impose partial metric invariance did not  
351 improve model fit to the point that we could be confident in moving forward treating the data as  
352 part of one group. Thus, further analyses regarding treatment data were conducted only in the  
353 UK sample, in which a larger amount of treatment data was available ( $n = 271$ ).

#### 354 **Assessing Treatment-related Change using the TS-SF**

355 Latent trajectory analyses indicated a non-linear slope. Because the nonlinear slope  
356 would complicate further analyses, treatment-related change was assessed using change scores  
357 rather than latent trajectory models. To maximize the data available, change pre- to post-  
358 treatment was examined. Change in the combined short-form correlated highly with change in  
359 TFI ( $r = .84$ ), THI ( $r = .76$ ), and the THI-S ( $r = .84$ ). As seen in Table 6, regression analyses  
360 suggested that change in the combined short-form accounted for unique variance in THI and TFI  
361 change over and above change in the THI-S. Notably, change in the THI-S did not account for  
362 unique variance in change in the TFI, over and above the joint short form.

#### 363 **Evaluating the TS-SF in a Separate Sample**

364 The combined short form was administered on a 0-10 scale to individuals seeking  
365 treatment for tinnitus distress in the US (see Appendix A). A single-factor model achieved below  
366 adequate fit ( $CFI = .881$ ,  $TLI = .847$ ,  $RMSEA = .161$ ). However, a bifactor model with TFI and



367 THI items indicated by two separate method factors achieved excellent fit in terms of two of the  
368 three fit indices (CFI = .978, TLI = .958, RMSEA = .092, see Figure 1 for item loadings).  
369 Notably, RMSEA is likely to be a poor indicator of model fit due to the relatively small sample  
370 size ( $n = 164$ , Kenny, Kaniskan, & McCoach, 2014).

## 371 **Discussion**

372 The aim of this study was to compare the psychometric properties of two widely used  
373 measures of tinnitus severity, the THI and TFI. We further sought to develop a short measure of  
374 subjective tinnitus severity that is responsive to change and can be used to monitor those with  
375 tinnitus at regular intervals particularly when undertaking tinnitus interventions.

### 376 **Constructs of the THI and TFI**

377 Psychometric analyses suggest that the THI and TFI in their original forms do not  
378 measure precisely the same construct, as evidenced by poor fit of a unified bifactor model (and,  
379 indeed, any joint model that was attempted). Our results echo previous findings (e.g., Fackrell et  
380 al., 2016, 2018; Kleinstäuber et al., 2015), which have questioned the hypothesized factor  
381 structure of these original measures. Furthermore, our results suggest that the tinnitus severity  
382 constructs assessed by each questionnaire as a whole should not be compared to one another, as  
383 they do not appear to measure the same underlying construct, although the constructs they do  
384 measure overlap considerably. Of course, one solution to this problem is to use both measures,  
385 but this solution is impractical for studies in which tinnitus severity is to be measured multiple  
386 times. Thus, a short form combining the two measures is necessary for assessing tinnitus severity  
387 in a way that can be compared to prior research in the field while minimizing patient burden.

### 388 **Short Form for Monitoring Tinnitus Severity**

389           Due to the lack of a responsive short form to monitor changes in tinnitus severity over  
390 time, such a form was developed by combining the TFI and THI, focusing on items with limited  
391 loadings on secondary factors. The 10-item TS-SF developed here has the advantage of being a  
392 brief measure, which reduces patient burden. The new short form also has good psychometric  
393 properties. Confirmatory factor analysis suggests good to excellent fit of the initial combined  
394 measure, which exceeded the fit of confirmatory models assessing factor structure of the TFI and  
395 THI. Placing all items on the same 0-10 scale continued to indicate good to excellent fit. This  
396 administration format has the advantage of increasing continuity and variability, both of which  
397 should serve to make the measure more sensitive to treatment-related change.

398           The TS-SF correlates highly with the TFI, THI, and THI-S, both at baseline and when  
399 assessing treatment-related change. Regression analyses suggest that change in the TS-SF  
400 accounts for significant variance in change in both the THI and TFI. Change in the THI-S was  
401 not a significant predictor of treatment-related change in the TFI over and above the TS-SF.  
402 These results suggest that the 10-item TS-SF, which was developed in the current study by  
403 combining items from the TFI and THI, is a valuable tool for assessing both tinnitus severity and  
404 treatment-related changes in tinnitus severity.

#### 405 **Study Limitations**

406           The following limitations of the current study need to be considered. There was a lack of  
407 measurement invariance found between the US and UK samples. There are multiple potential  
408 reasons for this outcome. First, participants in the UK sample were all offered treatment, whereas  
409 not all of the US studies provided treatment to participants. A second possibility is that cultural  
410 differences between the UK and US might lead individuals with tinnitus to vary in the way they  
411 rate the severity of their tinnitus or willingness to endorse distress. Thus, further research is

412 needed to examine the suitability of the TS-SF across countries and cultures to determine  
413 whether our current results are due to differences in sampling or differences in cultures. Notably,  
414 the TS-SF did achieve good fit in the additional sample of individuals seeking treatment for  
415 tinnitus in the US.

416         Ideally, the TS-SF would be unifactorial to allow for simple interpretation. However, our  
417 results suggest that method factors are needed even when placing all items on the same scale,  
418 likely because the THI items are designed to elicit extreme responses (e.g., yes or no), whereas  
419 the TFI was specifically designed to avoid extreme or catastrophic reactions (Fackrell et al.,  
420 2016). Additionally, it is unclear from our analysis whether it is useful to include one reverse-  
421 scored item. Finally, further research is needed to assess sensitivity to treatment-related change.  
422 Although our results suggest that change in the TS-SF correlates highly with change in the TFI  
423 and THI, we have not yet established how much of a change in the TS-SF score is clinically  
424 important (i.e., minimal clinically important difference). Research that compares change in the  
425 TS-SF with clinician assessment of treatment-related change will be critical in assuring that the  
426 measure is appropriately sensitive as an outcomes instrument. Until such research is completed,  
427 the TS-SF should not replace existing full-length measures that have shown to be sensitive to  
428 treatment-related change.

## 429 **Conclusions**

430         The aim of this study was to develop and preliminarily validate a brief measure of  
431 tinnitus severity. The resulting 10-item TS-SF combines items from the TFI and THI, two  
432 existing widely used measures of tinnitus severity. The TS-SF appears to effectively assess the  
433 construct of tinnitus severity, as demonstrated by its strong psychometric properties. The TS-SF  
434 also appears sensitive to treatment-related change based on associations with existing measures

435 used for this purpose. Further research is necessary to establish a threshold of clinically  
436 important change in the TS-SF and confirm its sensitivity to treatment-related change. As  
437 psychological treatments for tinnitus continue to be developed, we urge researchers to carefully  
438 consider their outcome measures and to utilize repeated assessments wherever possible.  
439 Repeating a 10-item short form several times is much more feasible and involves much less  
440 burden than repeating either the THI or TFI alone or (even more so) in combination. These  
441 practices will greatly increase the reliability of results and support the development of research  
442 on treatment of tinnitus severity.

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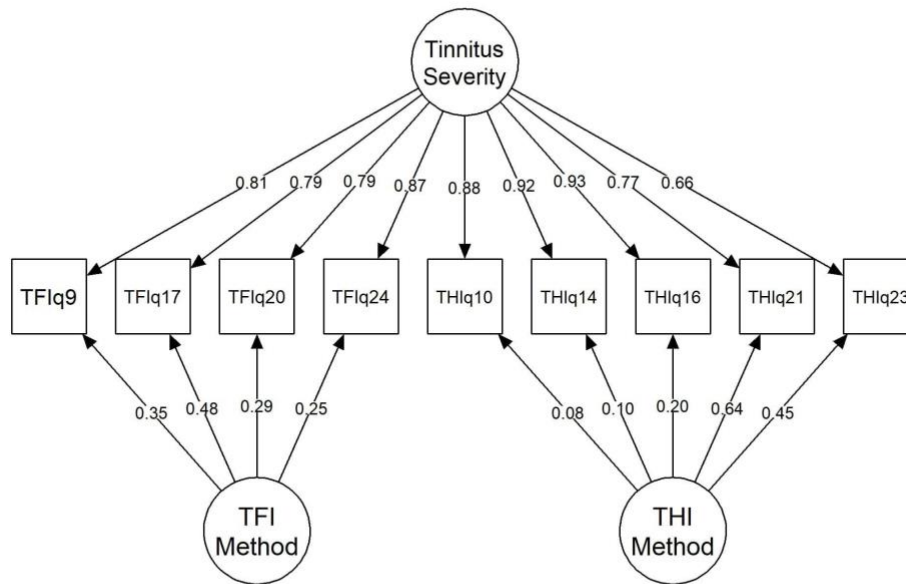
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676 *Figure 1.* Bifactor model of Tinnitus Severity Short Form administered to a new sample of  
 677 individuals seeking treatment for tinnitus. Loadings are standardized. TFI = Tinnitus Functional  
 678 Index; THI = Tinnitus Handicap Inventory. Error terms are not shown for the sake of simplicity.

679



680 *Table 1. Data collected*

Country	Reference	<i>N</i>	Outcome measures	Number of assessment time points	Population type	Administration format
United States	(Das et al., 2012)	100	TFI, THI	1	Research population seeking treatment for tinnitus. Study did not offer intervention.	Paper
	(Krings et al., 2015)	35	TFI, THI	2	Research population seeking treatment for tinnitus.	Online
	(Wilson et al., 2015)	20	TFI, THI	1	Research population seeking treatment for tinnitus. Study did not offer intervention.	Online
	(Kallogjeri et al., 2017)	40	TFI, THI	2	Research population seeking treatment for tinnitus.	Online
	(Roland et al., 2015)	18	TFI, THI	1	Research population seeking treatment for tinnitus.	Paper
United Kingdom	(Beukes et al., 2017)	31	TFI, THI-S	2	Research population seeking CBT treatment for tinnitus.	Online
	(Beukes, Baguley, et al., 2018)	146	TFI, THI-S	2	Research population seeking CBT treatment for tinnitus.	Online
	(Beukes, Andersson, et al., 2018)	92	TFI, THI, THI-S	2	Clinical population seeking treatment for tinnitus.	Online
	(Beukes, Baguley, et al., 2018)	48	TFI, THI-S	1	Research population seeking treatment for tinnitus but not undergoing the intervention (out of criteria or decided not to proceed).	Online

681

682 *Note:* TFI = Tinnitus Functional Index; THI = Tinnitus Handicap Index; THI-S = Tinnitus

683 Handicap Index – Screening Version

684

685 *Table 2. Baseline participant characteristics of existing data sets*

Characteristic	Overall ( <i>n</i> = 502)	United Kingdom ( <i>n</i> = 289)	United States ( <i>n</i> = 213)
Gender, % female ( <i>n</i> )	57% ( <i>n</i> = 288)	58% ( <i>n</i> = 168)	56% ( <i>n</i> = 120)
Age, M (SD)	53.96 (11.28)	54.76 (12.84)	52.82 (8.47)
TFI, M (SD)	48.12 (22.10)	55.73 (21.51)	37.75 (18.39)
THI, M (SD)	39.37 (20.24)	45.85 (21.83)	36.48 (18.84)
THI-S, M (SD)	18.41 (9.14)	21.00 (9.19)	14.85 (7.80)

686

687 *Note:* TFI = Tinnitus Functional Index; THI = Tinnitus Handicap Index; THI-S = Tinnitus

688 Handicap Index – Screening Version; M = mean; SD = standard deviation

689 *Table 3. Fit Statistics of Confirmatory Factor Analytic models.*

Model	CFI	TLI	RMSEA	AIC	BIC
TFI Single Factor	0.581	0.543	0.177	27427.798	27691.908
TFI Eight Factor (Fackrell, 2016)	0.943	0.935	0.067	25023.429	25326.275
TFI Bifactor	0.945	0.934	0.067	25016.856	25372.524
THI Single Factor	0.874	0.863	0.095		
THI Three Factor (Kleinstauber, 2015)	0.896	0.884	0.088		
THI Two Factor	0.899	0.889	0.090		
THI Bifactor – 4 factors total	0.906	0.886	0.087		
THI Bifactor – 2 factors total	0.901	0.886	0.087		
Joint model – TFI Eight Factor + THI Two Factor	0.692	0.675	0.065		
Joint Bifactor	0.705	0.677	0.063		
Joint Bifactor – single distress factor	0.649	0.619	0.071		
Tinnitus Severity Short Form (joint short form)	0.960	0.941	0.069		

690

691 *Note.* TFI = Tinnitus Functional Index; THI = Tinnitus Handicap Inventory; CFI = comparative  
692 fit index; TLI = Tucker–Lewis incremental fit index; RMSEA = root mean square error of  
693 approximation; AIC = Akaike Information Criterion; BIC = Bayesian Information Criterion.

694

695 *Table 4. Standardized loadings of Tinnitus Severity Short Form items.*

Item	Factor 1	Factor 2
TFI5. Over the PAST WEEK...How easy was it for you to COPE with your tinnitus?	0.64	0.52
TFI9. Over the PAST WEEK, how much did your tinnitus interfere with... Your ability to FOCUS ATTENTION on other things besides your tinnitus?	0.65	0.49
TFI17. Over the PAST WEEK, how much has your tinnitus interfered with... Your ability to RELAX?	0.61	0.51
TFI20. Over the PAST WEEK, how much has your tinnitus interfered with... Your ENJOYMENT OF LIFE?	0.69	0.51
TFI24. Over the PAST WEEK... How BOTHERED or UPSET have you been because of your tinnitus?	0.73	0.44
THI10. Because of your tinnitus, do you feel frustrated?	0.76	
THI14. Because of your tinnitus, do you find that you are often irritable?	0.75	
THI16. Does your tinnitus make you upset?	0.82	
THI21. Because of your tinnitus, do you feel depressed?	0.87	
THI23. Do you feel that you can no longer cope with your tinnitus?	0.81	

696

697 *Note.* TFI = Tinnitus Functional Index; THI = Tinnitus Handicap Inventory. To estimate a short  
698 form score from the TFI total, use the following equation:  $Y_{\text{Short Form}} = -4.31 + 0.97 * \text{TFI}_{\text{Total}}$ . To  
699 estimate a short form score from the THI total, use the following equation:  $Y_{\text{Short Form}} = -4.44 +$   
700  $1.09 * \text{THI}_{\text{Total}}$ . If the THI and TFI score are both available, the following formula can be used for  
701 greater precision:  $Y_{\text{Short Form}} = -9.88 + 0.48 * \text{TFI}_{\text{Total}} + 0.68 * \text{THI}_{\text{Total}}$ . To estimate the TFI total  
702 from a short form score, use the following equation:  $\text{TFI}_{\text{Total}} = 14.08 + 0.80 * \text{Short}_{\text{Total}}$ . To  
703 estimate the THI total from a short form score, use the following equation:  $\text{THI}_{\text{Total}} = 10.81 +$   
704  $0.76 * \text{Short}_{\text{Total}}$ .

705 *Table 5. Means, standard deviations, and correlations among pre-treatment measures and post-treatment change scores*

Variable	<i>M</i>	<i>SD</i>	Tinnitus Severity Short Form	TFI Pre	THI Pre	THI-S Pre	Tinnitus Severity Short Form Change	TFI Change	THI Change
Tinnitus Severity Short Form	52.28	21.76							
TFI Pre	56.20	19.64	.85 [.82, .89]						
THI Pre	49.71	14.79	.80 [.74, .87]	.68 [.58, .77]					
THI-S Pre	20.86	8.91	.91 [.89, .93]	.78 [.73, .83]	.83 [.77, .90]				
Tinnitus Severity Short Form Change	18.14	20.42							
TFI Change	18.63	20.26				.84 [.79, .88]			
THI Change	13.79	14.71				.76 [.67, .84]	.61 [.50, .72]		
THI-S Change	7.29	8.88				.85 [.80, .89]	.70 [.62, .78]	.79 [.71, .86]	

706 *Note.* *M* = mean; *SD* = standard deviation; TFI = Tinnitus Functional Index; THI = Tinnitus Handicap Inventory; THI-S = Tinnitus  
707 Handicap Inventory Short Form. All values are averaged across results from 40 data sets multiply imputed using mice. Values in  
708 square brackets indicate the 95% confidence interval for each correlation. All correlations are statistically significant ( $p < .001$ ).

709 *Table 6.* Short form predictors of treatment-related change in TFI and THI

Predictor	<u>TFI <math>\Delta</math></u>			<u>THI <math>\Delta</math></u>		
	b	SE	<i>p</i>	b	SE	<i>p</i>
Tinnitus Severity Short Form	0.83	0.09	<0.001	0.251	0.09	0.003
THI short form $\Delta$	-0.08	0.23	0.728	0.974	0.20	<0.001

710

711 *Note.* TFI = Tinnitus Functional Index; THI = Tinnitus Handicap Inventory

712

## 713 Appendix A. Tinnitus Severity Short Form

714 Instructions: The purpose of this questionnaire is to identify difficulties that you may be  
 715 experiencing because of your tinnitus. Please rate the following based on your experiences  
 716 during the past week:

717 *All on the following rating scale:*

718	0	1	2	3	4	5	6	7	8	9	10
719	Not										Very
720	At										Much
721	All										So

722

723 1 [TFI5]. How easy was it for you to cope with your tinnitus?

724 2 [TFI9]. How much did your tinnitus interfere with your ability to focus attention on other  
 725 things besides your tinnitus?

726 3 [TFI17]. How much has your tinnitus interfered with your ability to relax?

727 4 [TFI20]. How much has your tinnitus interfered with your enjoyment of life?

728 5 [TFI24]. How bothered have you been because of your tinnitus?

729 6 [THI10]. Because of your tinnitus, did you feel frustrated?

730 7 [THI14]. Because of your tinnitus, did you find that you were often irritable?

731 8 [THI16]. Did your tinnitus make you upset?

732 9 [THI21]. Because of your tinnitus, did you feel depressed?

733 10 [THI23]. Did you feel that you could no longer cope with your tinnitus?

734

735 *Note:* Items are adapted from the Tinnitus Handicap Inventory (THI; Newman et al., 1996) and  
736 Tinnitus Functional Index (TFI; Meikle et al., 2012). Item source appears in brackets.  
737 Instructions are adapted from THI and implied instructions of TFI (e.g., past week instruction is  
738 present on each item of the TFI). Scale is adapted from TFI. The short questionnaire  
739 administered to a new sample in the current study included an instruction to select lower scores  
740 when an aspect has not been a problem and higher scores when an aspect has been a problem.  
741 We believe this caused confusion for participants, as the first item should be reverse scored. To  
742 generate a total score, subtract the score of the first item from 10 (e.g., reverse score this item)  
743 and sum the result with the rest of the item scores.  
744