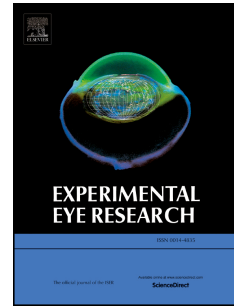


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Lutein and Zeaxanthin attenuates VEGF-induced neovascularisation in human retinal microvascular endothelial cells through a Nox4-dependent pathway

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48 **Abstract**

49

50 Age-related macular degeneration (AMD) and proliferative diabetic retinopathy (DR) are two of the
51 most common and severe causes of vision loss in the population. Both conditions are associated
52 with excessive levels of vascular endothelial growth factor (VEGF) in the eye which results in an
53 increase in the formation of new blood vessels through a process called neovascularisation. As such,
54 anti-VEGF therapies are currently utilised as a treatment for patients with AMD however they are
55 associated with painful administration of injections and potential degeneration of healthy
56 endothelium. There is therefore growing interest in alternate treatment options to reduce
57 neovascularisation in the eye. The use of carotenoids, lutein (L) and zeaxanthin (Z), has been shown
58 to improve vision loss parameters in patients with AMD, however the underlying mechanisms are
59 not well-understood. We studied the impact of these compounds on neovascularisation processes
60 using an *in vitro* cell model of the retinal microvascular endothelium. Our findings show that L and Z
61 reduced VEGF-induced tube formation whilst, in combination (5:1 ratio), the compounds
62 significantly blocked VEGF-induced neovascularisation. The carotenoids, individually and in
63 combination, reduced VEGF-induced oxidative stress concomitant with increased activity of the
64 NADPH oxidase, Nox4. We further demonstrated that the Nox4 inhibitor, GLX7013114, attenuated
65 the protective effect of L and Z. Taken together, these findings indicate the protective effect of the
66 carotenoids, L and Z, in reducing VEGF-mediated neovascularisation via a Nox4-dependent pathway.
67 These studies implicate the potential for these compounds to be used as a therapeutic approach for
68 patients suffering from AMD and proliferative DR.

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94 Age-related macular degeneration (AMD) is a leading cause of vision loss in the ageing population
95 (Bourne et al. 2014). The pathogenesis of AMD is multifactorial with a variety of associated factors
96 including age, genetic contribution and environmental stress. The clinical hallmark of dry AMD is the
97 appearance of localised deposits of oxidised lipids and proteins within the eye, known as drusen,
98 which form between the basement membrane and Bruch's membrane (Gorusupudi et al. 2017). The
99 most devastating hallmark of AMD is seen in patients with the wet-form of the disease in which
100 neovascularisation occurs resulting in sudden and irreversible loss of vision with intra-vitreous
101 injections the treatment option (Ferris et al. 1984).

102

103 Severe cases of diabetic retinopathy (DR) can also lead to neovascularisation (in proliferative DR)
104 leading to irreversible loss of vision (He, M. S. et al. 2018, Topouzis et al. 2009). Worldwide, there
105 were an estimated 425 million people suffering with diabetes in 2017 which is projected to reach
106 629 million in 2045 (Ogurtsova et al. 2017). DR is a serious complication in chronic, poorly-controlled
107 diabetes (National Eye Institute) and a leading cause of vision impairment and loss in the working
108 population (Porta and Bandello. 2002). The prevalence of vision-threatening proliferative DR which
109 can lead to blindness is 6.32% in Europe and 7.26% worldwide (Teo et al. 2020).

110

111 DR is a complex, multifactorial disease which progresses from a mild, non-proliferative condition,
112 characterised by breakdown of the retinal microvasculature, pericyte loss and capillary
113 degeneration, to a proliferative form of the disease which is associated with new blood vessel
114 formation (Fong et al. 2003, Wong et al. 2016). This neovascularisation can bleed and cause
115 mechanical traction and result in retinal detachment and subsequent blindness (Stitt et al. 2013).
116 Prolonged hyperglycaemia in diabetic patients results in metabolic disruption within the retina, with
117 the release of a number of growth, neurotrophic and inflammatory factors (Ola et al. 2012, Qian and
118 Ripps. 2011, Tarr et al. 2013). Many of these released molecules result in vascular disruption due to
119 the key pathogenic factor released in DR, vascular endothelial growth factor (VEGF). Hypoxia which
120 is observed in patients with proliferative DR, elevates VEGF levels, to result in increased migration
121 and proliferation of retinal endothelial cells to form new blood vessels (Simo et al. 2006).
122 Hyperglycaemia-induced oxidative stress in the microvasculature is therefore a key pathology
123 related to the development of DR. As such, excessive reactive oxidative stress (ROS) accumulation
124 occurs in the retina of diabetic patients (Calderon et al. 2017, He, M. et al. 2013, Tan, J. S. et al.
125 2008). Cytosolic NADPH oxidases, Nox, are the primary enzyme family which is responsible for
126 generating cellular ROS by catalysing the reduction of molecular oxygen to the superoxide anion via
127 oxidising NADPH to NADP. Whilst there are three Nox isoforms found in the retina (Nox1, Nox2,
128 Nox4), Nox4 is the predominant isoform (Serrander et al. 2007) and has been closely linked with the
129 development and severity of DR in type 2 diabetic patients (Appukuttan et al. 2018, Ibrahim et al.
130 2015, Kim et al. 2012, Kowluru et al. 2014, Li et al. 2010, Meng et al. 2018, Wang et al. 2014).
131 Indeed, Nox4 activity is associated with endothelial cell processes linked to retinopathy including
132 permeability, hyper-proliferation and tubulogenesis (Li et al. 2010). The inhibition of Nox4 therefore
133 represents a potential therapeutic mechanism to target various microvascular complications seen in
134 retinopathy.

135

136 For proliferative DR, panretinal photocoagulation therapy has been the gold standard for treatment
137 of DR to prevent severe vision loss. The therapy is, however, inherently a destructive therapy
138 resulting in potential loss of photoreceptors, and therefore loss of peripheral field and night vision
139 (Sun, J. K. et al. 2019), as well as vitreal haemorrhage (Coney. 2019). Anti-VEGF treatments, such as
140 the full-length recombinant humanized anti-VEGF monoclonal antibody Bevacizumab (Avastin)

141 (Ferrara et al. 2004) and the recombinant antibody fragment of humanised anti-VEGF monoclonal
142 antibody Ranibizumab (Lucentis) (Heier et al. 2006), have been recently been advocated as
143 alternative therapies which have been successful in diabetic and AMD clinics. These are
144 administered as intravitreal injections and can therefore be painful for patients and associated with a
145 range of potential complications including cataract, infectious endophthalmitis, conjunctival
146 haemorrhage and retinal detachment (Coney. 2019).

147
148 There is therefore a growing need to understand the development of novel therapeutic agents to
149 inhibit VEGF activity and thus reduce retinal injury and improve vision outcomes for patients with
150 diabetes and AMD. Whilst different vascular beds undergo neovascularisation in each disease,
151 retinal endothelium for DR and choroidal endothelium for AMD, finding therapeutic interventions
152 for DR are also likely to have a positive effect on the incidence of irreversible loss of vision. It is
153 therefore vital to understand the molecular mechanisms which underlie neovascularisation in DR
154 pathology, and use this information to develop therapeutic options for patients.

155
156 We have previously identified the presence of novel GPCRs, T1R2/3, in human retinal microvascular
157 endothelial cells, which are activated by a range of acutely sweet molecules (Lizunkova et al. 2019).
158 Our studies demonstrated that the sweetener, sucralose, blocked VEGF-induced angiogenic
159 processes such as permeability and neovascularisation, in the human retinal microvasculature.
160 These studies indicate the potential link between dietary components and improved outcomes for
161 patients with neovascularisation and pave the way for new techniques to tackle the condition.
162 Indeed, recent clinical studies have investigated the potential for a range of dietary components,
163 including micronutrients and vitamins, to reduce various aspects of retinopathy pathology including
164 age-related macular degeneration (Chew et al. 2013, Dow et al. 2018, Kowluru, Kanwar et al. 2008,
165 Lee et al. 2010). Of these, two of the most extensively studied are lutein (L) and zeaxanthin (Z).
166 These xanthophyll carotenoids are endogenously expressed at high levels in the retina however
167 there is no *de novo* synthesis of either therefore dietary consumption of these molecules is
168 important for vision (Scripsema et al. 2015). Indeed, in a range of clinical studies, such as CARMIS,
169 LUTEGA and AREDS2, L and Z at different ratios have been shown to protect against markers of
170 retinopathy in AMD (Chew et al. 2013, Dawczynski et al. 2013, Piermarocchi et al. 2012). In the Blue
171 Mountain Study, supplementation of L and Z is associated with as much as 65% reduced risk of
172 neovascular AMD (Tan, J. S. et al. 2008). There are, however, limited studies which assess L and Z
173 supplementation in DR or the molecular mechanisms through which these micronutrients impact
174 the endothelium. *In vivo* studies indicated that L and Z act as antioxidants to preserve retinal
175 function and prevent neuronal loss in the retina of diabetic models (Arnal et al. 2009, Kowluru,
176 Menon et al. 2008, Miranda et al. 2006, Sasaki et al. 2010). In humans, Hu *et al* demonstrated that L
177 and Z supplementation in patients with non-proliferative DR resulting in improved visual function as
178 assessed by visual acuity, contrast sensitivity and macular pigment optical density (Hu et al. 2011).
179 Whilst L and Z are found in different foods such as spinach, corn and eggs, many studies have tested
180 commercially-available supplements, including Macushield which comprises of a 5:1 ratio of L to Z, in
181 patients with AMD (Akuffo et al. 2015, Al-Ahmary. 2010, Crosby-Nwaobi et al. 2016). Despite these
182 studies, there is limited understanding of the effect of L and Z at a cellular level in the retina and
183 specifically how these compounds could be efficacious in reducing retinal neovascularisation,
184 associated with DR.

185
186 We have therefore sought to address this using physiologically-relevant concentrations of L and Z, or
187 combination treatment (5:1 lut:zeax), in human retinal microvascular endothelial cells. We utilised

188 VEGF as an *in vitro* model of DR and the anti-VEGF Bevacizumab as a positive control for currently
189 available pharmaceutical therapy.

190

191 Human retinal microvascular endothelial cells (RMVEC) were purchased from Cell Systems (Kirkland,
192 WA) and cultured specialised supplemented vascular cell media using culture boost. Cells were
193 utilised between passages 2 and 7 only and routinely checked for endothelial cell characteristics
194 including vascular endothelial cadherin expression and uptake of acetylated LDL. Unless otherwise
195 stated, all reagents were sourced from Sigma-Aldrich.

196

197 Cell viability was assessed using 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT).
198 RMVEC were exposed to bevacizumab, L, Z or 5:1 lut:zeax, or vehicle (H₂O), treatment at a range of
199 concentrations (0.05, 0.075, 0.1, 0.25, 0.5, 1 µg/µl) for 24 h, followed by incubation with MTT
200 reagent for 2 h at 37°C. Absorbance was assessed at 570 nm using a microplate reader (Victor
201 Perkin-Elmer) and viability was calculated as % normalised to vehicle. Cellular ROS was assessed
202 using the fluorogenic dye 2',7'-dichlorofluorescein diacetate (DCFDA). RMVEC were exposed to
203 DCFDA (10 µM) for 30 min at 37°C and then replaced with bevacizumab, L, Z, or 5:1 lut:zeax with
204 VEGF (50 ng/ml) or vehicle (H₂O). DCFDA fluorescence was measured at 488 nm using a fluorescent
205 plate reader (Victor, Perkin Elmer) for time points from 10 to 240 mins.

206

207 Cellular glutathione (GSH) and Nox4 activity were quantified using commercially-available kits. For
208 all kits, RMVEC were plated in a 96-well plate and exposed to bevacizumab, L, Z or 5:1 lut:zeax in the
209 presence and absence of VEGF (50 ng/ml), for 24 h. For the GSH Bioxytech activity kit (Merck
210 Millipore, Hertfordshire, UK), levels of the monochlorobimane dye bound to reduced or oxidised
211 glutathione were quantified by fluorescence measured at an excitation and emission of 380/461nm
212 using a fluorescent plate reader (Victor, Perkin Elmer). For the Nox4 activity kit (Cusabio, Texas,
213 USA), the assay was performed as per the manufacturer's protocol and absorbance was measured at
214 450nm using a microplate reader (Tecan Sunrise).

215

216 Angiogenic processes were measured as cell proliferation, migration, adhesion and
217 neovascularisation as previously described (Lizunkova et al. 2019). In brief, RMVEC were quiesced
218 for 24 h with 1% FBS followed by exposure to bevacizumab, L, Z or combined treatment, in the
219 presence and absence of VEGF, for 6 h. Alternatively, cells were exposed to bevacizumab, L, Z or
220 combined treatment, with VEGF (50 ng/ml), in the presence of GLX7013114 (1 µM) (Raystar Bio,
221 Hangzhou Guangyuan, China) or the vehicle control (DMSO). To ensure that bevacizumab did not
222 neutralise VEGF in preparation, VEGF treatment was administered separately but within a 5 minute
223 window. Cells were then counted using a haemocytometer for the cell proliferation assay. For cell
224 migration assay, RMVEC were plated for 24 h, followed by a scratch using a pipette tip and
225 immediately expose to bevacizumab, L, Z or 5:1 lut:zeax, in the presence and absence of VEGF (50
226 ng/ml). Migration was then monitored at 2 h time intervals and images were captured at ×10
227 magnification using a Zoe™ Cell Imager (BioRad). Cell migration was assessed using the MiToBo
228 analyser software in Image J as previously described (Lizunkova et al. 2019). Cell adhesion was
229 assessed following exposure to bevacizumab, L, Z or 5:1 lut:zeax, in the presence and absence of
230 VEGF (50 ng/ml), for 2 h. RMVEC were then rinsed and MTT assay was performed as for the viability
231 assay to quantify adhered cells. Neovascularisation was measured by plating cells onto Matrigel™-
232 coated plasticware (BD Biosciences, Oxford, UK) and immediately exposing cells to bevacizumab, L, Z
233 or 5:1 lut:zeax, in the presence and absence of VEGF (50 ng/ml), for 6 h. Alternatively, RMVEC were
234 exposed to bevacizumab, L, Z or 5:1 lut:zeax, with VEGF (50 ng/ml), in the presence and absence of

235 GLX7013114 (1 μ M). Images of tube formation were captured at $\times 10$ magnification using a Zoe™
236 Cell Imager (BioRad). The number of joints and mesh size were calculated by using the Angiogenesis
237 Analyser software in Image J as previously described (Lizunkova et al. 2019).

238

239 The experimental number is presented in the legend for each experiment and an average from two
240 wells was assessed to represent an n of 1. Variance was assessed by using Bartlett's test. For data
241 sets not reaching significance (Figures 1A-C, 2A,C,E, F) the Kruskal-Wallis test was used followed by
242 Dunn's test. For all other data sets, differences among the means were tested for significance in all
243 experiments by ANOVA with Tukey's range significance difference test. Significance was reached
244 when $p < 0.05$. Values are presented as mean \pm standard error mean (S.E.M.).

245

246 To understand the role of L, Z or the combined treatment of L:Z at a 5:1 ratio, compared to
247 bevacizumab as a current therapy, we first sought to assess the cytotoxic effect of each treatment at
248 a range of concentrations from 0.05 to 1 μ g/ μ l. Whilst there was a trend for decreased viability at
249 the highest concentration of L, Z, or 5:1 lut:zeax treatment (1 μ g/ μ l), this did not reach significance
250 (Figure 1A). In contrast, bevacizumab, the anti-VEGF antibody, resulted in a significant decrease in
251 cell viability at 0.5 and 1 μ g/ μ l to $62.2 \pm 3.9\%$ and $51.9 \pm 3.3\%$ (Figure 1A). Further studies for L, Z, 5:1
252 lut:zeax treatment and bevacizumab were performed at a non-cytotoxic but effective concentration
253 of 0.25 μ g/ μ l where there was no significant difference in viability between any of the treatment
254 groups (Avery et al. 2006, Sonmez et al. 2008, Wang et al. 2014). We next studied the effect of
255 these compounds on the ability of RMVEC to undergo VEGF-induced angiogenic processes. In the
256 absence of VEGF, bevacizumab, L, Z and 5:1 lut:zeax treatment had no impact on cell proliferation
257 (Figure 1B), adhesion (Figure 1C), migration (Figure 1D) and neovascularisation as measured by
258 angiogenic potential (number of joints) and mesh size (Figure 1E-G). As anticipated, VEGF exposure
259 significantly increased all of these measurements (Figure 1B-F) (Lizunkova et al. 2019). Interestingly,
260 treatment with L or Z significantly reduced all of the VEGF-induced angiogenic processes studied,
261 however these protective effects did not return values to those seen in vehicle-treated RMVEC
262 (Figure 1B-G). In contrast, VEGF-induced increases in cell proliferation, adhesion, migration and
263 mesh size were effectively blocked by exposure to either bevacizumab or 5:1 lut:zeax treatment
264 (Figure 1B, C, D, G). Taken together, these findings show that independent treatment of L or Z can
265 reduce VEGF-induced angiogenic processes in cells from the human retinal microvasculature. More
266 importantly, these findings show that combination 5:1 lut:zeax treatment is highly effective in
267 attenuating vascularisation in the retina, similar to a primary treatment for DR, bevacizumab.

268

269 One of the key clinical features of DR is oxidative stress associated with the increased inflammation
270 and retinal injury (Calderon et al. 2017, He, M. et al. 2013, Tan, S. M. et al. 2013). Therefore to
271 further understand the mechanism through which L and Z, and the combination treatment, protect
272 against VEGF-induced angiogenic processes in human retinal microvascular endothelial cells, the
273 next studies performed were to assess oxidative stress. As anticipated, exposure to VEGF
274 significantly increased ROS accumulation in RMVEC over the 2 h period (Figure 2A). Interestingly,
275 treatment with L, Z, 5:1 lut:zeax treatment or bevacizumab had no impact on cellular ROS levels
276 (Figure 2A). Following exposure to both VEGF and the therapeutic treatments, L and Z significantly
277 reduced, but did not block, VEGF-induced ROS accumulation in RMVEC (Figure 2B). In contrast,
278 bevacizumab and 5:1 lut:zeax treatment effectively attenuated VEGF-induced cellular ROS to return
279 levels to those seen in vehicle-treated RMVEC (Figure 2B). Levels of the endogenous antioxidant
280 enzyme, glutathione (GSH), were measured as the primary protein responsible for clearing excess
281 ROS and preventing oxidative stress. The observed VEGF-induced decrease in GSH expression was

282 significantly attenuated by exposure to bevacizumab and 5:1 lut:zeax treatment (Figure 2C). Whilst
283 independent treatment with L also exerted a protective effect, to a lesser degree than combined
284 treatment, Z exposure had no impact on cellular GSH expression (Figure 2C). These data
285 demonstrate a clear role for 5:1 lut:zeax treatment in reducing VEGF-mediated oxidative stress in
286 human retinal microvascular endothelial cells.

287
288 Nox4 is a major NADPH oxidase enzyme found in the retinal microvasculature and the only
289 constitutively active Nox isoform (Serrander et al. 2007). In addition, Nox4 has been demonstrated
290 to play a significant role in the development of retinal injury seen in DR (Appukuttan et al. 2018, Li et
291 al. 2010, Wang et al. 2014). Therefore we next studied the effect of the potential therapeutic
292 carotenoids on Nox4 activity. Exposure to bevacizumab, L, Z or 5:1 lut:zeax had no effect on Nox4
293 activity whereas exposure to VEGF alone significantly increased Nox4 activity (Figure 2D).
294 Interestingly, all 4 therapeutic compounds significantly reduced VEGF-induced Nox4 activity but
295 were unable to completely attenuate the effect (Figure 2D). To understand whether the reduction
296 in VEGF-mediated Nox4 activity was associated with the protective effect of L, Z or 5:1 lut:zeax, the
297 final experiments utilised the specific Nox4 inhibitor, GLX7013114. RMVEC were exposed to VEGF in
298 the presence of either bevacizumab, L, Z or 5:1 lut:zeax treatment, and with or without GLX7013114.
299 Cell proliferation and mesh size in Matrigel™ were assessed as markers for neovascularisation.
300 Whilst the Nox4 inhibitor had no effect on cell proliferation or mesh size at baseline, following VEGF
301 exposure, GLX7013114 significantly reduced, but not blocked, VEGF-induced angiogenic processes
302 (Figure 2E and F). The protective effect of bevacizumab, in protecting against VEGF-induced
303 proliferation and mesh formation, was not affected by Nox4 inhibition indicative of a Nox4-
304 independent signal (Figure 2E and F). Interestingly, Nox4 inhibition significantly blocked the
305 protective effect of L, Z and 5:1 lut:zeax treatment and prevented each from reversing VEGF-induced
306 neovascularisation (Figure 2E and F). Taken together, these findings demonstrate that L, Z and 5:1
307 lut:zeax therapy may act as antioxidants, in VEGF-treated cells, to reduce angiogenic processes in a
308 Nox4-dependent manner.

309
310 In the present study, we demonstrate the effect of the carotenoids L and Z, independently and in
311 combination, on neovascularisation processes in human retinal microvascular endothelial cells. Our
312 findings show that, in a VEGF-induced *in vitro* model of the eye linked to DR, both L and Z attenuate
313 the neovascularisation processes of proliferation, adhesion, migration and tube formation similar to
314 a current retinopathy therapy, bevacizumab. Interestingly, a combination therapy of L and Z (5:1
315 ratio) which mimics commercially-available supplements, such as Macushield (Akuffo et al. 2015,
316 Crosby-Nwaobi et al. 2016), is more effective in blunting VEGF-mediated neovascularisation than
317 independent treatment with each carotenoid. Furthermore, L and Z treatment, independently or in
318 combination, blocked VEGF-mediated oxidative stress and Nox4 activity. Finally, we demonstrate
319 that Nox4 activation is able to reverse the protective effect of the carotenoids on endothelial cell
320 proliferation and tube formation. Taken together, these data show that L and Z can exert Nox4-
321 dependent antioxidant effects which attenuate VEGF-induced neovascularisation. This study
322 provides evidence that L and Z attenuate neovascularisation in an *in vitro* model of retinal injury
323 linked to retinopathy. Findings demonstrate the role that Nox4 plays in regulating this protective
324 effect of carotenoids and identifies a novel molecular target in the treatment of patients with
325 retinopathy.

326
327 Patients who display retinal neovascularisation, for example, those with diabetic macular oedema in
328 DR will be treated using intravitreal injection of anti-VEGF treatments (Ferrara et al. 2004, Heier et al.

2006). In the present study, we utilised one such treatment, a full-length anti-VEGF monoclonal antibody called bevacizumab, as a therapeutic comparison to lutein and zeaxanthin supplementation. Bevacizumab has been demonstrated to be effective in causing endothelial cell apoptosis which results in a loss of vascular endothelium within neovascular membranes and regression of abnormal neovascularisation in the retina of diabetic patients (Ababneh et al. 2013, Han et al. 2012). *In vitro* studies demonstrate that bevacizumab decreases VEGF-induced tube formation in retinal endothelial cells (Palanisamy et al. 2019). Our studies demonstrate that exposure of human retinal microvascular endothelial cells to varying concentrations of bevacizumab, in the absence of VEGF, causes cell death at concentrations of 0.5 and 1 $\mu\text{g}/\mu\text{l}$. In contrast, L, Z, or combination treatment had no impact on cell viability at baseline conditions indicating that these carotenoids may offer a less toxic approach than current anti-VEGF therapy. At present, a small percentage of patients receiving conventional anti-VEGF therapy can suffer from complications associated with neurodegeneration of healthy vessels and retinal detachment (Coney. 2019). In work presented here, we show that L, Z or 5:1 lut:zeax treatment have no impact on neovascularisation processes – proliferation, adhesion, migration and tube formation – in the absence of VEGF. These studies indicate that L and Z are working through a mechanism which blocks VEGF, and that healthy vasculature is not be affected by exposure to these carotenoids, either independently or in combination. Therefore L and Z may represent a therapeutic approach which could be preferable to other current anti-VEGF therapies. Whilst the human cell line model of retinopathy is a great tool to establish molecular mechanisms related to DR, further studies are essential to establish the role of L and Z in a physiological setting using *in vivo* techniques. Such studies include the use of microscopy with retinal whole mounts stained for endothelial cells using the WBN/Kob genetic or hypoxia inducible mouse models which display capillary occlusion, retinal ischaemia and neovascularisation characteristics of the disease (Olivares et al. 2017, Sun, Q. et al. 2020). Such studies would demonstrate the protective effect of L and Z combination treatment *in vivo* and link closely to the clinical studies with these micronutrients.

There have been several clinical studies which implicate a variety of dietary components in improving visual acuity in settings of AMD. These studies, such as the Blue Mountain Study, CARMIS and AREDS2, show that dietary supplementation with L and Z, amongst other micronutrients, reduce the risk of developing neovascular AMD (Chew et al. 2013, Dawczynski et al. 2013, Piermarocchi et al. 2012, Tan, J. S. et al. 2008). Despite these findings, there are limited studies which assess this efficacy or the protective mechanisms at a vascular level in these patients (Hu et al. 2011). Our findings demonstrate a protective, but not ablating, effect of either L or Z in settings of VEGF-mediated neovascularisation. Interestingly, when administered as a combination therapy, at 5:1 lut:zeax ratio, complete attenuation of VEGF-induced neovascular processes was observed. This indicates a therapeutic effect of this ratio of L and Z which is enhanced when administered in combination which is not surprising given that commercially-available supplements such as Macushield, are comprised of 5:1 ratio of L to Z (Akuffo et al. 2015, Crosby-Nwaobi et al. 2016). Bioavailability data indicates that there is a significant increase in plasma L (from 0.372 to 3.163 $\mu\text{g}/\text{dL}$) and Z (0.117 to 0.391 $\mu\text{g}/\text{dL}$) concentrations, following 12 week administration of 5:1 ratio of supplement (Juturu, et al. 2015). Of this large increase in carotenoid concentration, ocular tissues including the retina contain around 100-fold higher levels of L and Z compared to other tissues (Handelman et al. 1988, Landrum et al. 1997). These values correspond to around 0.25 $\mu\text{g}/\mu\text{l}$ L and 0.048 $\mu\text{g}/\mu\text{l}$ Z in the retina following 5:1 lut:zeax supplementation et al. 2015). In the present study, we used a concentration of 0.25 $\mu\text{g}/\mu\text{l}$ for L, Z and the combination therapy, based on cytotoxicity study using a current anti-VEGF therapy, bevacizumab, which was used as a therapeutic comparison.

376 This converts to a concentration of 0.042 µg/µl Z and 0.208 µg/µl L which is physiologically-relevant
377 for the retina following supplementation. Findings from this study can therefore be linked to
378 supplementation studies which confer that L:Z, administered in combination at a 5:1 ratio, are
379 protective against VEGF-induced neovascularisation processes.

380

381 The pathology of neovascularisation in the eye is generally linked with hyperglycaemia-induced
382 oxidative stress. As such, micronutrients and vitamins which exert an antioxidant effect, in reducing
383 oxidative stress in the retina, represent a potential therapeutic approach. Whilst association studies
384 link dietary vitamin C and E with reduced markers of DR, multi-oxidant clinical trials show no
385 significant improvement of the disease (Tabatabaei-Malazy et al. 2019). In the present study, we
386 demonstrate the antioxidant properties of L, Z and combination therapy, in retinal microvascular
387 endothelial cells, in settings of VEGF-induced oxidative stress. This is the first study performed in the
388 retinal endothelium to show this effect however findings are in keeping with *in vitro* studies in the
389 retinal pigment epithelium. Here, L has been shown to activate nuclear translocation of Nrf2 to
390 reduce high glucose- or hypoxia-induced oxidative stress (Gong et al. 2017, Shivarudrappa and
391 Ponesakki. 2019). In the choroidal vasculature, L supplementation, alongside consumption of long-
392 chain polyunsaturated fatty acids, is associated with reduced Nox4-mediated oxidative stress (Yanai
393 et al. 2018). Interestingly, Nox4 is the predominant Nox enzyme found in the retina, and has been
394 linked to the onset of DR (Appukuttan et al. 2018, Li et al. 2010, Wang et al. 2014). In the present
395 study, we demonstrate that L, Z and combination treatment lower ROS accumulation and Nox4
396 activity. Using the Nox4 inhibitor (GLX7013114), we further show that carotenoid-mediated
397 protection against neovascularisation, in settings of VEGF, is dependent on Nox4 activity. This is in
398 contrast to bevacizumab, where the protective effect of the humanised antibody on VEGF-mediated
399 neovascularisation is unaffected by Nox4 activation. These findings represent a key difference
400 between L and Z therapeutic approach and the typical anti-VEGF therapies used routinely.
401 Furthermore, these studies indicate Nox4 as a key therapeutic target to treat the excessive retinal
402 vascularisation seen in patients with DR. It is worth noting, however, that there are other Nox
403 isoforms present within the retina which may play a role in regulating neovascularisation, such as
404 Nox1 and Nox2 (Serrander et al. 2007). Indeed, in prostate cancers, Nox1 has been shown to trigger
405 the angiogenesis switch whilst Nox2-derived ROS has been identified to mediate VEGF-induced
406 migration (Arbiser et al. 2002, Ushio-Fukai et al. 1996). Therefore, further studies are needed to
407 establish whether other Nox isoforms are mediated by L and Z to attenuate VEGF-induced
408 neovascularisation and impact retinopathy.

409

410 Taken together, the present studies utilise a well-established *in vitro* model of retinopathy, and
411 mirror findings from clinical studies such as AREDS2. Further work is, however, needed to establish
412 the mechanism through which L and Z regulate Nox4 activity and understand how this can be
413 translated to a more robust therapeutic approach for patients with DR.

414

415 **Figure legends**

416 **Figure 1: Lutein and zeaxanthin protect against VEGF-induced angiogenic processes in human**
417 **retinal microvascular endothelial cells.** Endothelial cells were exposed to Bevacizumab, Lutein,
418 Zeaxanthin, or a combination of lutein and zeaxanthin (5:1 lut:zeax), in the presence (closed bars)
419 and absence (open bars) of VEGF (50 ng/ml). Cell viability (A), proliferation (B), and adhesion (C)
420 were measured by MTT assay and cell count. Cell migration (D) was assessed by scratch assay, and
421 neovascularisation (E, F and G) was measured using Matrigel™ assay. Representative images of
422 Matrigel™ assay were captured in the presence and absence of VEGF (E) and quantification was

423 performed (F and G). Images were captured at x20 magnification, scale bar 200 μm . n=5, *p<0.05
424 versus 0 $\mu\text{g}/\mu\text{l}$ (A) or vehicle (H_2O) (B-F), #p<0.05 versus VEGF.

425

426 **Figure 2: Lutein and zeaxanthin reduce VEGF-induced oxidative stress and Nox4 activity to**
427 **attenuate angiogenic processes.** *Panels A-D:* Endothelial cells were exposed to Bevacizumab, Lutein,
428 Zeaxanthin, or a combination of lutein and zeaxanthin (5:1 lut:zeax), in the presence (closed bars)
429 and absence (open bars) of VEGF (50 ng/ml). ROS production (A and B), GSH expression (C) and
430 Nox4 activity (D) were assessed using DCFDA, monochlorobimane dye, and specific ELISA kit
431 respectively. *Panels E and F:* Endothelial cells were exposed to Bevacizumab, VEGF, Lutein,
432 Zeaxanthin, or a combination of lutein and zeaxanthin (5:1 lut:zeax), in the presence (closed bars)
433 and absence (open bars) of the Nox4 inhibitor, GLX7013114 (1 μM) or the vehicle (DMSO). Cell
434 proliferation (E) and neovascularisation (F) were measured using cell count and Matrigel™ assay
435 respectively. n=5, *p<0.05 versus vehicle for VEGF (H_2O), #p<0.05 versus VEGF, or δ p<0.05 versus
436 vehicle for GLX7013114 (DMSO). .

437

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Figure 1

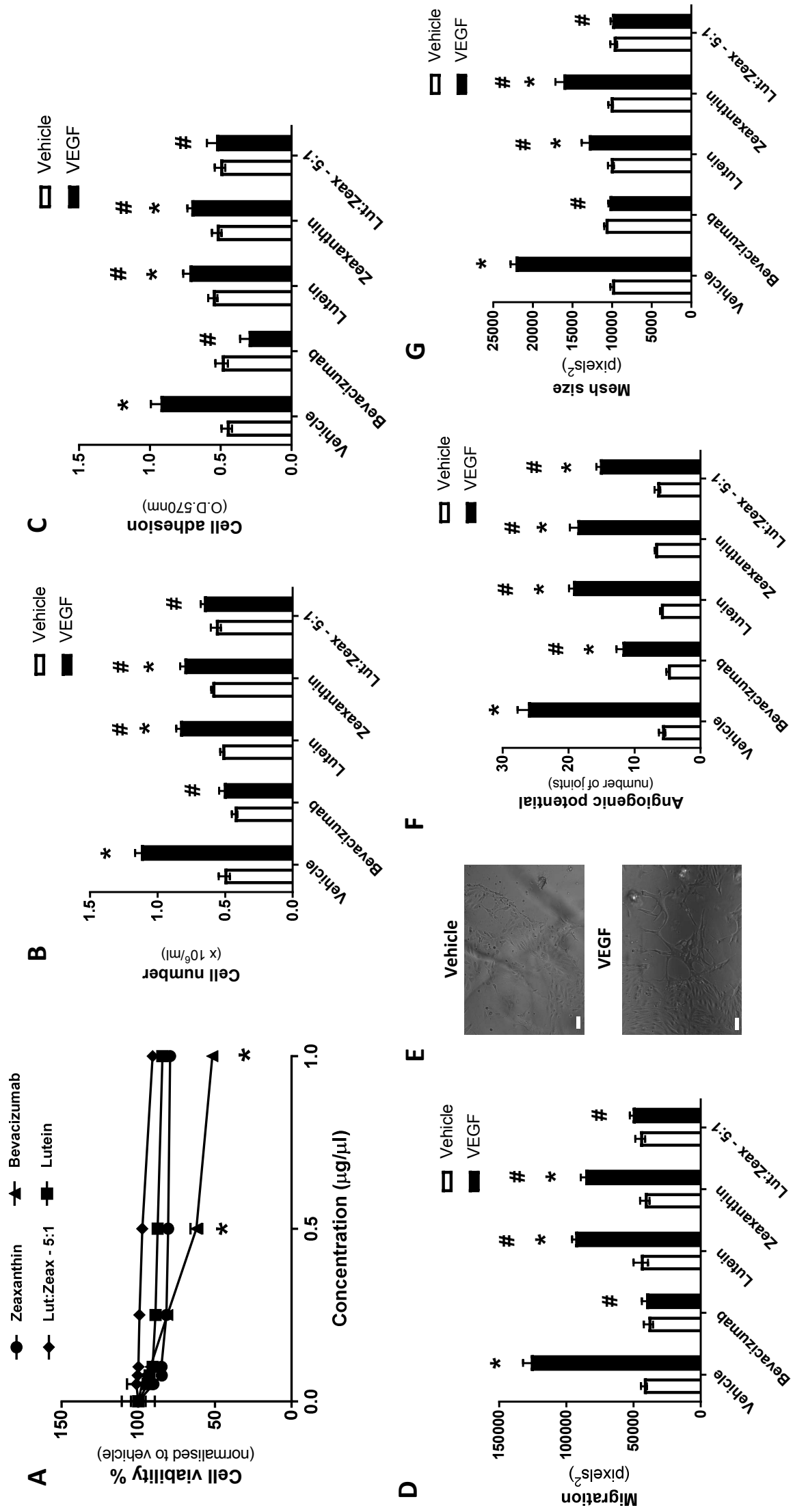


Figure 2

